AUDITime

Overview - Banking

Risk & Audit Services | Software Testing | Information Security

# Company Introduction

Brief Introduction

- Year of Establishment: 2000

- Current Size: 200+ consultants

- Quality Processes Adopted: ISO 9001

- Security Processes Adopted: ISO 27001

- Portfolio of Offerings:
  - Risk & Audit Solutions, Migration audits, Information security audits
  - Software Testing, Consultancy, Program Management, Business Analysis, Software Quality Management (QA, UAT, SIT)

Management Team
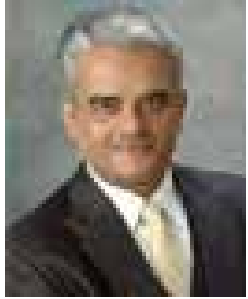
Mr. Paresh Desai, Managing Director
CA, CISA, CISM, CRISC
30 years + Experience
Worked in Banking, Capital Market, Manufacturing,
Finance, Merchant banking

Madhav Bhadra, Director
CA, CISA
14 years + Experience
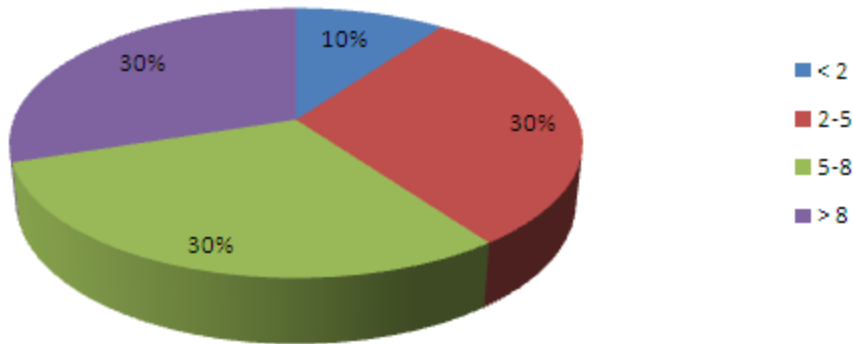Capital Market, Banking, Travel, Software Development

Chetan Maheshwari, Director
CA, CISA, CRISC
14 years + Experience
Banking, Capital Market, Logistics, Manufacturing

Years of Experience

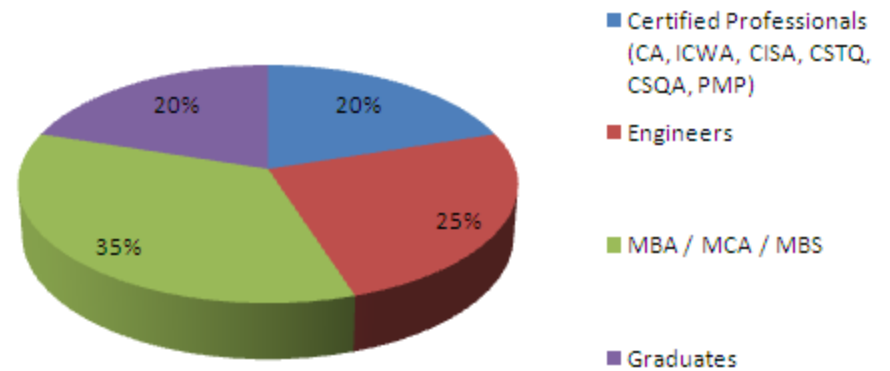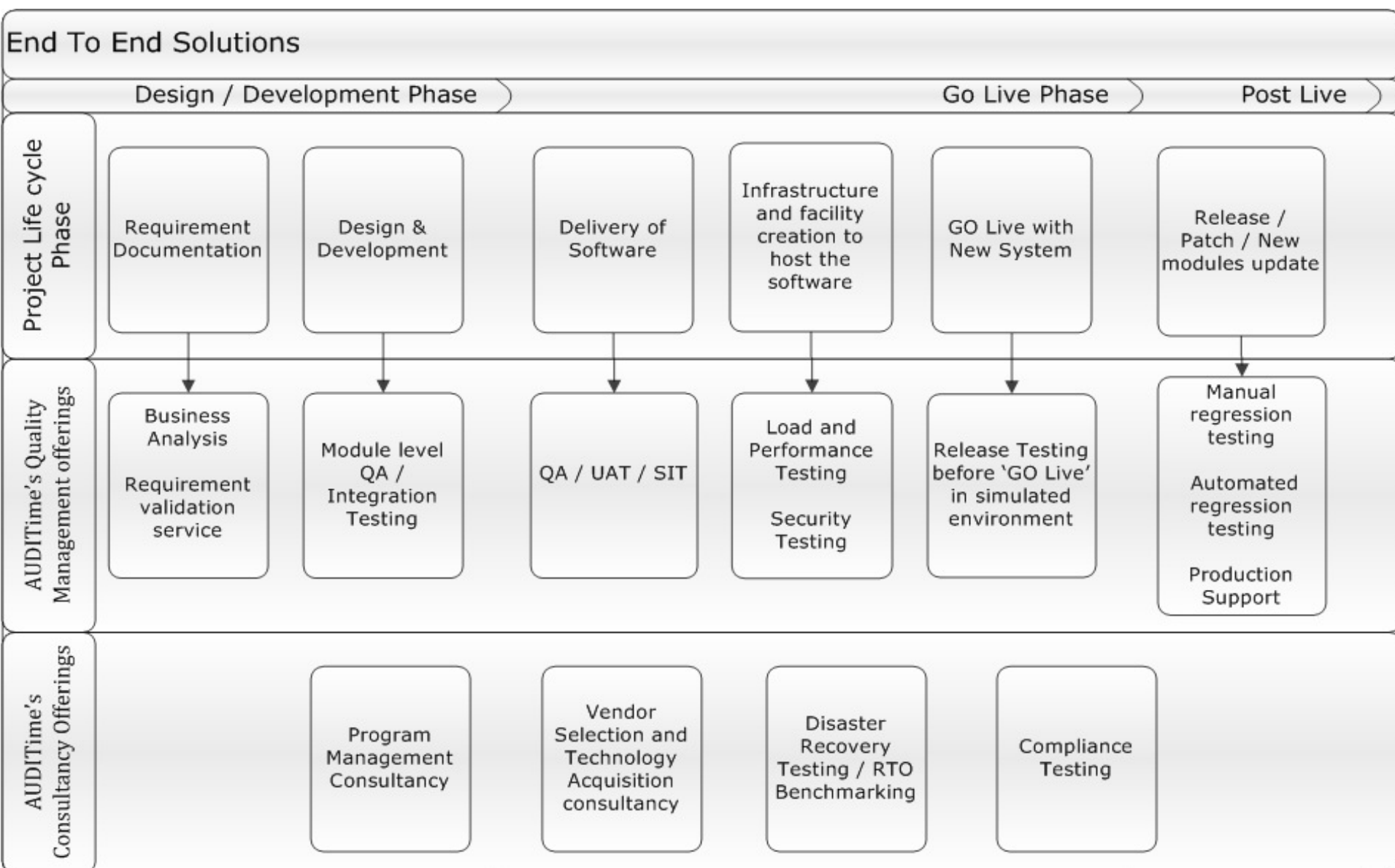|	
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■	> 8

10% — 30% — 30% — 30%

Qualification

20% — 25% — 35% — 20%

- ■ Certified Professionals (CA, ICWA, CISA, CSTQ, CSQA, PMP)
- ■ Engineers
- ■ MBA / MCA / MBS
- ■ Graduates

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End To End Solutions

	Design / Development Phase				Go Live Phase	Post Live

Project Life cycle Phase

Requirement Documentation	Design & Development	Delivery of Software	Infrastructure and facility creation to host the software	GO Live with New System	Release / Patch / New modules update

AUDITime's Quality Management offerings

Business Analysis / Requirement validation service	Module level QA / Integration Testing	QA / UAT / SIT	Load and Performance Testing / Security Testing	Release Testing before 'GO Live' in simulated environment	Manual regression testing / Automated regression testing / Production Support

AUDITime's Consultancy Offerings

Program Management Consultancy	Vendor Selection and Technology Acquisition consultancy	Disaster Recovery Testing / RTO Benchmarking	Compliance Testing

- Continuous Control Monitoring solutions
- Data Migration audits
- Continuous Audit
- Audit Management Systems
- Information Security audits
- IT security consultancy

- Retail Core Banking
    - Bank of India
    - Andhra Bank
    - YES Bank
    - IDBI
    - UBI
    - HDFC
    - ICICI
- Insurance
    - New India Assurance
    - United India Insurance
    - Oriental Insurance
    - AVIVA Life
    - Reliance Life SBI Life

- Mutual Funds
    - DSP Black Rock
    - UTI MF
- Investment Banks
    - Merrill Lynch (BOA)
    - Barclays Capital
    - HSBC Securities
    - Deutsche Equities
    - DAIWA SMBC
    - Macquarie Securities
    - RelSec (Australia)
    - Multi Commodity Exchange
    - Reliance Securities
    - Edelweiss
    - IIFL
    - Motilal Oswal
    - SBI Cap

- Ø Regulatory
    - § SEBI
    - § RBI
    - § Revenue Department

- Ø Telecom
    - § Commsoft
    - § Tata Tele
    - § Indus Tower

- Ø Manufacturing
    - § Essar Steel
    - § Holcim
    - § Tokheim

- Ø Travel & Logistics
    - § VFS Global
    - § Thomas Cook

System	Client	Software Vendor
IPO Funding	DSPML BOA JM Financials Barclays	Miles Software
NLADS	DSPML BOA JM Financials Aditya Birla Finance	Miles Software Kalpataru Computers SAP
SBL	DSPML BOA JM Financials	Miles Software Kalpataru Computers
MTF	DSPML BOA JM Financials Aditya Birla Finance	Miles Software

System	Client	Software Vendor
Finacle Core Banking Solution	United Bank of India Andhra Bank	Infosys Technologies
Omnisys	Abhyudaya Bank	Infrasoft Technologies
LOS / LOM	IDBI Bank CBOP (Now HDFC)	Infosys Technologies Nucleus Software
CWISS	New India Assurance	TCS
Core Insurance solution	United India Insurance	HP
Integrated Treasury Management System	FT Corporation Bank Credence Analytics	FT Lazer Soft Credence Analytics

Summary of Value Proposition

RISK & AUDIT

- Matured Assurance

- 100% Data migration accuracy through audit

- Audit Management systems for better controls & effectiveness

- Information Security of your assets
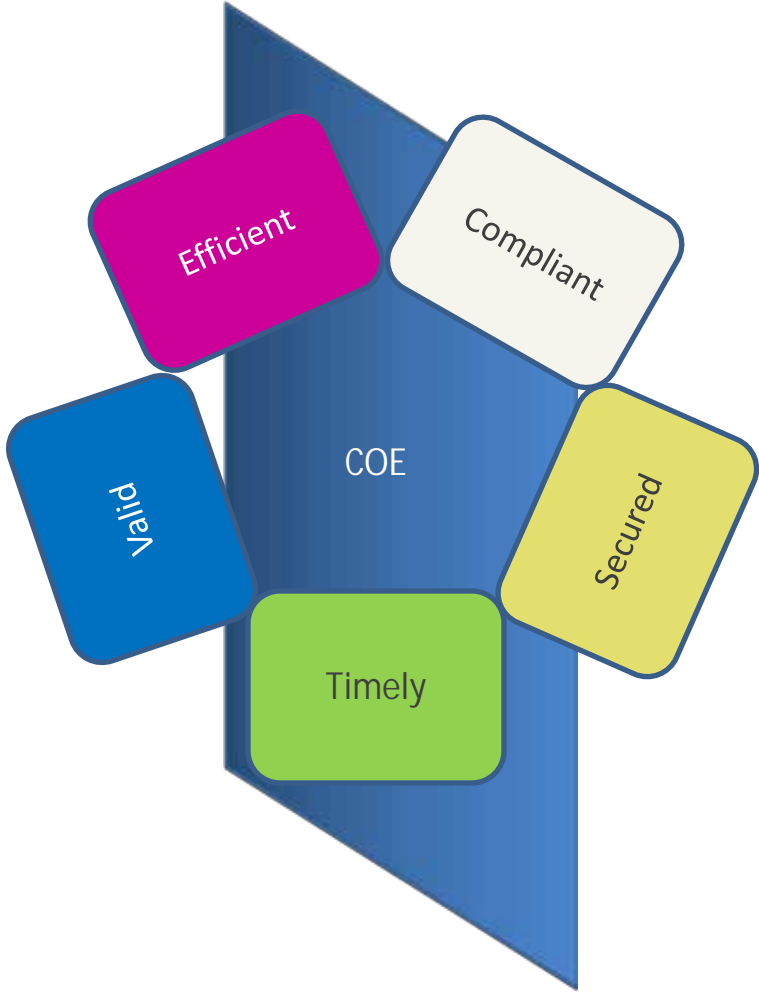
SOFTWARE TESTING

- 98% + defect prevention

- 90% + user's time saving

- Multi Layered Assurance Mechanism

- 100% independence

- Reduced cost of ownership through Onsite – Offshore Model

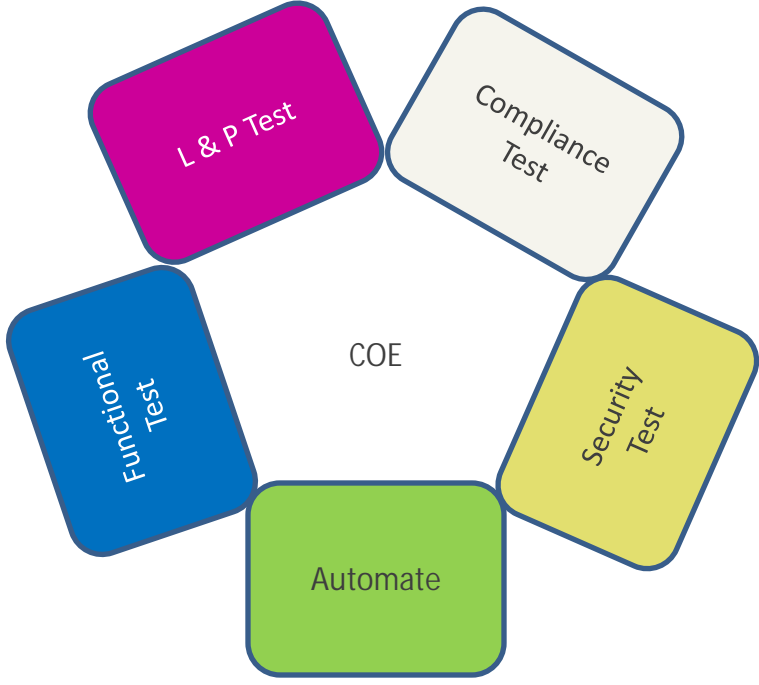- Reusable test automation model

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Approach and Solutions

Approach UAT flowchart:

Project Initiation (Project Identification, QC test lead identification)
↓
Training and protocol setting with all testing parties (developers, business users)
↓
Preparation of Test Scenario and cases on the basis of BRS / Discussion / Demo

Review of Cases with Users
↓
Submission of test case to Vendor / developers
↓
Incremental Testing (New Requirement Testing + Impact testing of change in previous module + defect correction testing)
↓
Documentation of output and discussion with users and vendors / developers
↓
Is it Last Release?
- N → Study of Next Release Requirement → Creation of new test cases + Correction in existing test cases, if any → Review of Cases with Users
- Y → Conduct Comprehensive Testing → Move product into Regression Mode
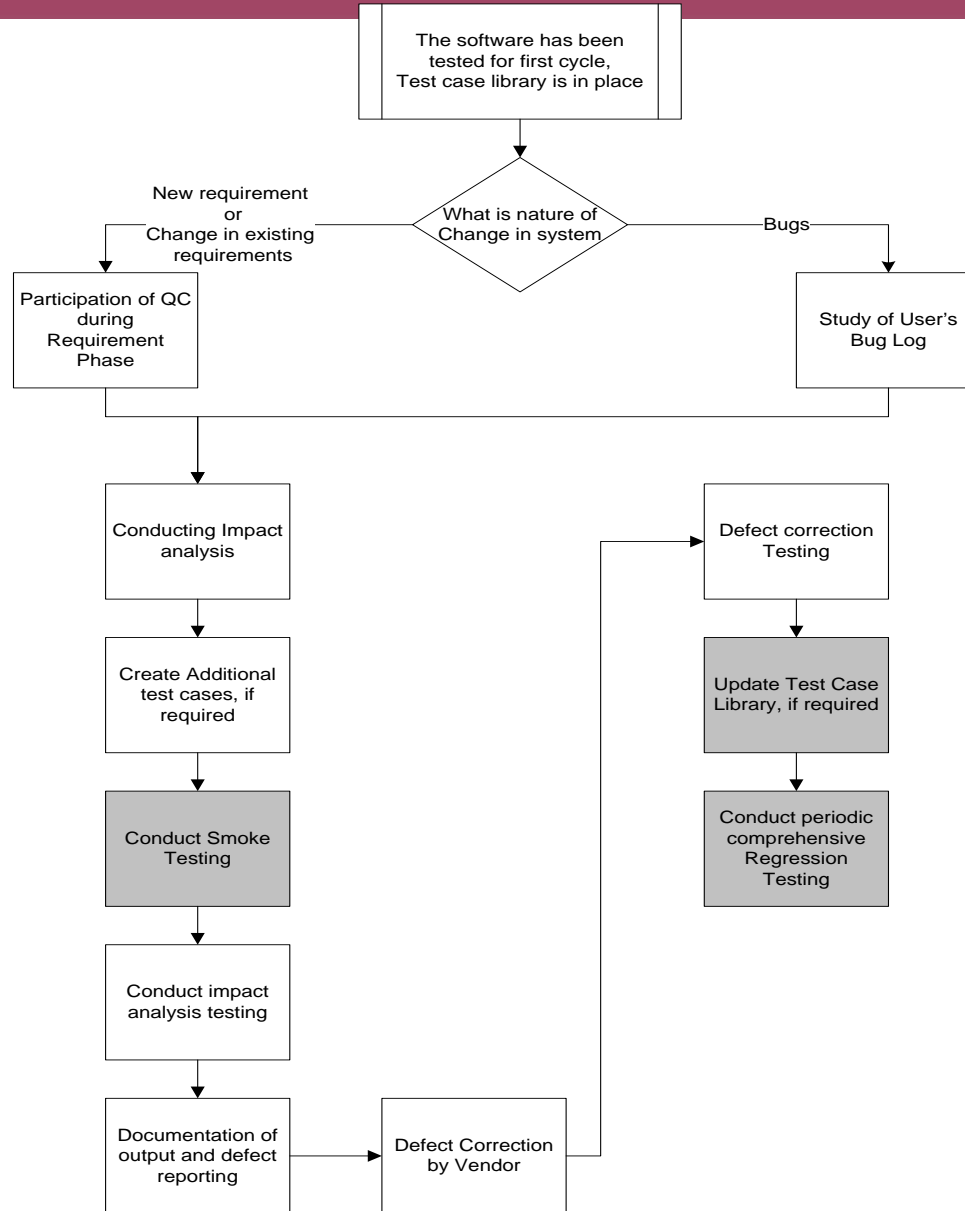
- **For Library Management / Bug reporting:**
  - Excel, JIRA, Bugzilla, Test director, QC
- **For Functional Automation:**
  - QTP , RFT, Silk Test, Test Complete
- **For Load and Performance Testing:**
  - Load Runner, Rational Robot, Silk Performer,
- **Open source:**
  - WATIR , Selenium, Open STA, Jmeter
- **For Security Test**
  - Nmap, Nessus, AppScan

- Defect logging
  - Defects shall be logged into predefined format or any other format mutually agreed.
- Defect Classification
  - Fatal Defects
  - High critical Defects
  - Moderate defects
- Defect reporting
  - The logged bugs shall be provided for Daily reporting. Bugs analysis shall be reported on completion of product, module or release testing.
- Review meetings
  - Daily Reviews
  - Weekly status reviews
  - Periodic all party reviews

Why AUDITime?

SR. No.	Particular	Value
1	Domain Understanding	HIGH
2	Quality Management Processes Capability	MATURED
3	Testing Capability	HIGH
4	Knowledge of Testing Tools	HIGH
5	Ability to Ramp-up or Ramp-Down team size, if required	HIGH
6	Work from on site locations / Offsite location	FLEXIBLE
7	Project management capability	HIGH
8	Commercials	COMPETITIVE
9	Dependability	HIGH
10	Management team	LOCAL

Thank you